

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re **Divisional** Application of: )  
Kenji KASAHARA ) Attn: Applications Branch  
Based on U.S. Serial No. 09/406,793 ) Group Art Unit: 2823  
Which Was Filed: September 28, 1999 ) Examiner: William D. Coleman  
For: SEMICONDUCTOR APPARATUS HAVING )  
SEMICONDUCTOR CIRCUITS MADE OF )  
SEMICONDUCTOR DEVICES, AND )  
METHOD OF MANUFACTURING THEREOF ) Date: November 4, 2003

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450


Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, each of the documents listed on the PTO-1449 Form were cited in parent application. The references listed on the PTO-1449 were cited in parent application Serial No. 09/406,793 filed September 28, 1999 for the above-captioned patent application.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (740756-2657).

Respectfully submitted,

By:   
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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Divisional Application based on USSN: 09/406,793
				Filing Date	November 4, 2003
				First Named Inventor	Kenji KASAHARA
				Art Unit	2823
Examiner Name	William D. Coleman				
Attorney Docket Number	740756-2657				
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,918,504	04/17/1990	Kato et al.	
		US-4,951,601	08/28/1990	Maydan et al.	
		US-5,130,264	07/14/1992	Troxell et al.	
		US-5,147,826	09/15/1992	Liu et al.	
		US-5,198,377	03/30/1993	Kato et al.	
		US-5,317,433	05/31/1994	Miyawaki et al.	
		US-5,583,369	12/10/1996	Yamazaki et al.	
		US-5,633,182	05/27/1997	Miyawaki et al.	
		US-5,696,386	12/09/1997	Yamazaki	
		US-5,773,331	06/30/1998	Solomon et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Kind Code <sup>2</sup> Country Code <sup>3</sup> Number <sup>4</sup> (if known)				
		JP 9-148582	06-06-1997		Abstract only	
		JP 8-330602	12-13-1996		Abstract only	
		JP 9-116156	05-02-1997		Abstract only	
		JP 63-31108	02-09-1988		Abstract only	
		JP 9-312260	12-02-1997		Abstract only	
		EP 0 545 694 A2	06-09-1993			
		EP 0 862 201 A2	09-02-1998			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		U.S. Related Patent Application Serial No. 08/223,823, filed April 6, 1994; "Thin Film Transistors Having Anodized Metal Films Between the Gate Wiring and Drain Wiring" Shunpei YAMAZAKI et al.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Number - Kind Code <sup>2</sup> (if known)			
		US-5,834,797	11-10-1998	Yamanaka	
		US-5,888,858	03-30-1999	Yamazaki et al.	
		US-5,923,963	07-13-1999	Yamanaka	
		US-5,946,561	08-31-1999	Yamazaki et al.	
		US-5,985,740	11-16-1999	Yamazaki et al.	
		US-6,004,831	12-21-1999	Yamazaki et al.	
		US-6,150,241	11-21-2000	Deleonibus	
		US-6,177,302	01-23-2001	Yamazaki et al.	
		US-6,261,877	07-17-2001	Yamazaki et al.	
		US-			

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Examiner Signature		Date Considered	
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